UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/786,772 02/25/2004 Ross Bunker BEAS-01437US1 2871 7590 02/01/2008 23910 **EXAMINER** FLIESLER MEYER LLP PHAM, MICHAEL 650 CALIFORNIA STREET 14TH FLOOR ART UNIT PAPER NUMBER SAN FRANCISCO, CA 94108 2167 MAIL DATE **DELIVERY MODE** 

Please find below and/or attached an Office communication concerning this application or proceeding.

02/01/2008

PAPER

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	Application No.	Applicant(s)								
	10/786,772	BUNKER ET AL.								
Office Action Summary	Examiner	Art Unit								
	Michael D. Pham	2167								
Period for Reply	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply									
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).										
Status										
1) Responsive to communication(s) filed on 15 N										
25/23 77110 01011111111111111111111111111111	action is non-final.	peopution as to the merits is								
3) Since this application is in condition for allowar closed in accordance with the practice under E										
	in parto quajro, 1000 o.b. 11, 10									
Disposition of Claims										
4) Claim(s) <u>1-4,6-11,13-18,20 and 21</u> is/are pend										
4a) Of the above claim(s) is/are withdrav	wn from consideration.									
6)⊠ Claim(s) <u>1-4, 6-11, 13-18, and 20-21</u> is/are rej	ected.									
7) Claim(s) is/are objected to.										
8) Claim(s) are subject to restriction and/o	r election requirement.									
Application Papers										
9)⊠ The specification is objected to by the Examine	r.									
10)☐ The drawing(s) filed on is/are: a)☐ acc										
Applicant may not request that any objection to the										
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is ob-	Action or form PTO-152								
11)☐ The oath or declaration is objected to by the Ex	anning. Note the attached Office	7,000/1011/11/10/102								
Priority under 35 U.S.C. § 119										
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	)-(d) or (f).								
a) All b) Some * c) None of:	a have been received									
<ul><li>1. Certified copies of the priority document</li><li>2. Certified copies of the priority document</li></ul>		on No.								
3 Copies of the certified copies of the prior	rity documents have been receive	ed in this National Stage								
	3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).									
* See the attached detailed Office action for a list of the certified copies not received.										
Attachment(s)										
1) Notice of References Cited (PTO-892)	4) 🔲 Interview Summary Paper No(s)/Mail D									
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of Informal F									
Paper No(s)/Mail Date	6) Other:									

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#### **Detailed Action**

## Status of claims

- 1. Claims 1-4, 6-11, 13-18, and 20-21 are pending.
- 2. Claims 5, 12, 19, and 22-28 have been cancelled.

## Claim Objections

3. Claims 15-18 and 20-21, applicant does not provide antecedent basis for the claim terminology "machine readable storage medium" and "computer readable storage medium". However, does provide that a storage medium (media). See, applicant's specification 0069.

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-4, 6-11, 13-18, and 20-21 are rejected under 35 U.S.C. 102(b) as being anticipated by US Patent 6874143 by Murray et. al. (hereafter Murray).

## Claim 1:

Murray discloses the following claimed limitations:

"a user interface;" [col. 6 line 66, user interface. Accordingly, a user interface (user interface) is suggested]

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"a plurality of services, wherein a service includes a public interface that has an implementation and provides access to functionality in an extension." [col. 7 lines 12-13, An EDF, implemented in XML, contains various tags that are associated with various extensions. Col. 7 lines 21-25, EDFs advantageously have an "open schema" which means that third party developers can extend the extension mechanism and include their own extensions by creating their own tags. Col. 7 line 27-29, exemplary predefined XML tags for user interface elements can include tags for feature types such as: tool bars, accelerators, menu items, and themes. Accordingly, a plurality of services (tags), wherein a service includes a public interface that has an implementation (open schema) and provides access to functionality in an extension (feature types such as tool bars, accelerators, menu items and themes) is suggested.]

"a plurality of extensions to extend an application;" [abstract, various extensions can be developed by third party developers for incorporation into the program or platform.

Accordingly, a plurality of extensions (various extensions) to extend an application (incorporation into the program or platform) is suggested.]

"wherein each one of the plurality of services is associated with an extension in the plurality of extensions;" [col. 7 lines 12-13, an edf, implemented in XML, contains various tags that are associated with various extensions. Accordingly, wherein each one of the plurality of services (tags) is associated with an extension (an edf, implemented in XML, contains various tags) in the plurality of extensions (various extensions) is suggested.]

"wherein one of the plurality of extensions exposes and consumes services associated with another extension in the plurality of extensions;"[col. 22 lines 45-46, receives all of the EDFs and merges them together and exposes them as a single list. Accordingly, wherein one of

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the plurality of extensions exposes (exposes) and consumes services (merges) associated with another extension in the plurality of extensions (EDFs).]

"wherein one of the plurality of extensions provides functionality accessible in the user interface; and"[col. 6 lines 64-66, an EDF is an XML file that logically describes an extension.

For example, the EDF can describe HTML that makes up a user interface. Accordingly, wherein one of the plurality of extensions (EDF) provides functionality accessible in the user interface (user interface) is suggested.]

"wherein one of the plurality of services provides access to functionality in one of the plurality of extensions." [col. 7 lines 11-21, an EDF, implemented in XML, contains various tags that are associated with various extensions. The various tags can correspond to: user interface elements, behaviour/component/objects, store elements, user-defined objects, or anything else that represents a point of extensibility in the application or platform. Accordingly, wherein one of the plurality of services (tags) provides access to functionality in one of the plurality of extensions (EDF).]

#### Claim 2:

Murray discloses the following claimed limitations:

"One of the plurality of extensions utilizes one of the plurality of services" [col. 7 lines 11-21].

#### Claim 3:

Murray discloses the following claimed limitations:

"an extension is an interchangeable application building block" [col. 7 lines 25-26, extensions can themselves be extended by other developers].

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## Claim 4:

Murray discloses the following claimed limitations:

"an extension includes an XML (extensible markup language) description" [col. 6 lines 64-66, EDFs] "and at least one of: 1) a set of classes; and 2) a set of resources" [col. 7 lines 63-64, classes and offline data sources. ].

#### Claim 6:

Murray discloses the following claimed limitations:

"an extension defines handlers" [col. 4 lines 17-19, the use of xml advantageously enables efficient handling of extensions from multiple different extension sources.].

#### Claim 7:

Murray discloses the following claimed limitations:

"an extension provides functionality to support at least one of: 1) a document type; 2) a user interface action; 3) a file encoding; 4) property settings; and 5) debugging information" [col. 6 lines 65-66, For example the EDF can describe HTML that makes up a user interface.].

#### Claim 8:

Murray discloses the following claimed limitations:

"providing a user interface to allow user interaction with the application;" [col. 6 line 66, user interface. Accordingly, providing a user interface to allow user interaction with the application (user interface) is suggested]

"providing a plurality of extensions to extend the application," [abstract, various extensions can be developed by third party developers for incorporation into the program or platform. Accordingly, providing a plurality of extensions (various extensions) to extend an

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application (incorporation into the program or platform) is suggested.] "wherein the providing permits one of the plurality of extensions to provide functionality accessible in the user interface; and" [col. 6 lines 64-66, an EDF is an XML file that logically describes an extension. For example, the EDF can describe HTML that makes up a user interface. Accordingly, wherein the providing permits one of the plurality of extensions (EDF) provides functionality accessible in the user interface (user interface) is suggested.]

"providing a plurality of services wherein the providing permits one of the plurality of services to provide access to functionality in one of the plurality of extensions;" [col. 7 lines 11-21, an EDF, implemented in XML, contains various tags that are associated with various extensions. The various tags can correspond to: user interface elements, behaviour/component/objects, store elements, user-defined objects, or anything else that represents a point of extensibility in the application or platform. Accordingly, providing a plurality of services wherein the providing permits one of the plurality of services (tags) to provide access to functionality in one of the plurality of extensions (EDF).]

"wherein a service includes a public interface that has an implementation and provides access to functionality in an extension;" [col. 7 lines 12-13, An EDF, implemented in XML, contains various tags that are associated with various extensions. Col. 7 lines 21-25, EDFs advantageously have an "open schema" which means that third party developers can extend the extension mechanism and include their own extensions by creating their own tags. Col. 7 line 27-29, exemplary predefined XML tags for user interface elements can include tags for feature types such as: tool bars, accelerators, menu items, and themes. Accordingly, wherein a service includes a public interface that has an implementation (open schema) and provides access to

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functionality in an extension (feature types such as tool bars, accelerators, menu items and themes) is suggested.]

"wherein each one of the plurality of services is associated with an extension in the plurality of extensions; and" [col. 7 lines 12-13, an edf, implemented in XML, contains various tags that are associated with various extensions. Accordingly, wherein each one of the plurality of services (tags) is associated with an extension (an edf, implemented in XML, contains various tags) in the plurality of extensions (various extensions) is suggested.]

"wherein one of the plurality of extensions exposes and consumes services associated with another extension in the plurality of extensions." [col. 22 lines 45-46, receives all of the EDFs and merges them together and exposes them as a single list. Accordingly, wherein one of the plurality of extensions exposes (exposes) and consumes services (merges) associated with another extension in the plurality of extensions (EDFs).]

## Claim 9:

Murray discloses the following claimed limitations:

"one of the plurality of extensions utilizes one of the plurality of services." [col. 7 lines 11-21].

#### Claim 10:

Murray discloses the following claimed limitations:

"an extension is an interchangeable application building block." [col. 7 lines 25-26, extensions can themselves be extended by other developers].

#### Claim 11:

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Murray discloses the following claimed limitations:

"an extension includes an XML (extensible markup language) description" [col. 6 lines 64-66, EDFs] "and at least one of: 1) a set of classes; and 2) a set of resources" [col. 7 lines 63-64, classes and offline data sources. ].

#### Claim 13:

Murray discloses the following claimed limitations:

"an extension defines handlers." [col. 4 lines 17-19, the use of xml advantageously enables efficient handling of extensions from multiple different extension sources.].

#### Claim 14:

Murray discloses the following claimed limitations:

"an extension provides functionality to support at least one of: 1) a document type; 2) a user interface action; 3) a file encoding; 4) property settings; and 5) debugging information." [col. 6 lines 65-66, For example the EDF can describe HTML that makes up a user interface.].

#### **Claim 15:**

Murray discloses the following claimed limitations:

"provide a user interface to allow user interaction with an application;" [col. 6 line 66, user interface. Accordingly, provide a user interface to allow user interaction with the application (user interface) is suggested]

"provide a plurality of extensions to extend the application," [abstract, various extensions can be developed by third party developers for incorporation into the program or platform.

Accordingly, provide a plurality of extensions (various extensions) to extend an application

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(incorporation into the program or platform) is suggested.] "wherein the providing permits one of the plurality of extensions to provide functionality accessible in the user interface; and" [col. 6 lines 64-66, an EDF is an XML file that logically describes an extension. For example, the EDF can describe HTML that makes up a user interface. Accordingly, wherein the providing permits one of the plurality of extensions (EDF) provides functionality accessible in the user interface (user interface) is suggested.]

"provide a plurality of services wherein the providing permits one of the plurality of services to provide access to functionality in one of the plurality of extensions;" [col. 7 lines 11-21, an EDF, implemented in XML, contains various tags that are associated with various extensions. The various tags can correspond to: user interface elements, behaviour/component/objects, store elements, user-defined objects, or anything else that represents a point of extensibility in the application or platform. Accordingly, provide a plurality of services (tags) wherein the providing permits one of the plurality of services (tags) to provide access to functionality in one of the plurality of extensions (EDF).]

"wherein a service includes a public interface that has an implementation and provides access to functionality in an extension;" [col. 7 lines 12-13, An EDF, implemented in XML, contains various tags that are associated with various extensions. Col. 7 lines 21-25, EDFs advantageously have an "open schema" which means that third party developers can extend the extension mechanism and include their own extensions by creating their own tags. Col. 7 line 27-29, exemplary predefined XML tags for user interface elements can include tags for feature types such as: tool bars, accelerators, menu items, and themes. Accordingly, wherein a service includes a public interface that has an implementation (open schema) and provides access to

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functionality in an extension (feature types such as tool bars, accelerators, menu items and themes) is suggested.]

"wherein each one of the plurality of services is associated with an extension in the plurality of extensions; and" [col. 7 lines 12-13, an edf, implemented in XML, contains various tags that are associated with various extensions. Accordingly, wherein each one of the plurality of services (tags) is associated with an extension (an edf, implemented in XML, contains various tags) in the plurality of extensions (various extensions) is suggested.]

"wherein one of the plurality of extensions exposes and consumes services associated with another extension in the plurality of extensions." [col. 22 lines 45-46, receives all of the EDFs and merges them together and exposes them as a single list. Accordingly, wherein one of the plurality of extensions exposes (exposes) and consumes services (merges) associated with another extension in the plurality of extensions (EDFs).]

## Claim 16:

Murray discloses the following claimed limitations:

"one of the plurality of extensions utilizes one of the plurality of services." [col. 7 lines

#### **Claim 17:**

Murray discloses the following claimed limitations:

"an extension is an interchangeable application building block." [col. 7 lines 25-26, extensions can themselves be extended by other developers]

#### Claim 18:

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Murray discloses the following claimed limitations:

"an extension includes an XML (extensible markup language) description" [col. 6 lines 64-66, EDFs] "and at least one of: 1) a set of classes; and 2) a set of resources" [col. 7 lines 63-64, classes and offline data sources. ].

#### **Claim 20:**

Murray discloses the following claimed limitations:

"an extension defines handlers." [col. 4 lines 17-19, the use of xml advantageously enables efficient handling of extensions from multiple different extension sources.]

#### Claim 21:

Murray discloses the following claimed limitations:

"an extension provides functionality to support at least one of: 1) a document type; 2) a user interface action; 3) a file encoding; 4) property settings; and 5) debugging information." [col. 6 lines 65-66, For example the EDF can describe HTML that makes up a user interface.]

#### Response to Arguments

- 6. Applicant's arguments with respect to claims 1-4, 6-11, 13-18, and 20-21 have been considered but are moot in view of the new ground(s) of rejection.
- A. Applicant's mainly assert that "wherein a service includes a public interface that has an implementation and provides access to functionality in an extension" is not disclosed. The reason being that a public interface is a specific technical term well known in the art that does

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not appear to be mentioned in Ali and further in view of the comments directed towards public interface, claim 1 is neither anticipated by, nor obvious in view of the cited references.

In response the examiner respectfully disagrees that the cited references does not disclose a public interface. Murray discloses, col. 7 lines 12-13, An EDF, implemented in XML, contains various tags that are associated with various extensions. Murray discloses col. 7 lines 21-25, EDFs advantageously have an "open schema" which means that third party developers can extend the extension mechanism and include their own extensions by creating their own tags. Murray discloses, col. 7 line 27-29, exemplary predefined XML tags for user interface elements can include tags for feature types such as: tool bars, accelerators, menu items, and themes. Accordingly, a plurality of services (tags), wherein a service includes a public interface that has an implementation (open schema) and provides access to functionality in an extension (feature types such as tool bars, accelerators, menu items and themes) is suggested.

The claims are still broad enough to be suggested by the cited reference. Accordingly, applicant's assertions are unpersuasive over the cited reference.

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#### Conclusion

- 7. The prior art made of record listed on PTO-892 and not relied, if any, upon is considered pertinent to applicant's disclosure.
- 8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

#### **Contact Information**

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael D. Pham whose telephone number is (571)272-3924. The examiner can normally be reached on Monday - Friday 9am - 5:00pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on 571-272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Michael Pham Art Unit 2167
Examiner

John Cottingham Art Unit 2167 Supervisor

JOHN COTTINGHAM
SUPERVISORY PATENT EXAMINER
TECHNOLOGY 2100

Application Number		10786772	
Filing Date		2004-02-25	
First Named Inventor	Bunke	iker, Ross	
Art Unit		2167	
Examiner Name	Pham	, Michael	
Attorney Docket Numl	er	BEAS-01437US1	
	Filing Date First Named Inventor Art Unit Examiner Name	Filing Date First Named Inventor Bunke Art Unit	Filing Date 2004-02-25  First Named Inventor Bunker, Ross  Art Unit 2167  Examiner Name Pham, Michael

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
M.P.	1	7073167		2006-07-04	lwashita	
	2	6721740		2004-04-13	Skinner et al.	
	3	6367068		2002-04-02	Vaidyanathan et al.	
	4	6148336		2000-11-14	Thomas et al.	
	5	7260818		2007-08-21	Iterum et al.	
	6	6662357		2003-12-09	Bowman-Amuah	
	7	6185734		2001-02-06	Saboff et al.	
M.P.	8	7181731		2007-02-20	Pace et al.	

Application Number		10786772		
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First Named Inventor	Bunke	er, Ross		
Art Unit		2167		
Examiner Name	Pham	n, Michael		
Attorney Docket Number		BEAS-01437US1		

m.P.	9	7165041		2007-01-16	Guheen et al.		
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M.P.	1	20040103406		2004-05-27	Patel		
	2	20030023957		2003-01-30	Bau, III et al.		
	3	20030028364		2003-02-06	Chan et al.		
	4	20020049788		2002-04-25	Lipkin et al.		
	5	20020116454		2002-08-22	Dyla et al.		
	6	20020169644		2002-11-14	Greene .		
	7	20020188486		2002-12-12	Gil et al.		
W.8.	8	20030018963		2003-01-23	Ashworth et al.		
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Attorney Docket Number		BEAS-01437US1	

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n.R.	1	MULLER, "Event-Oriented Dynamic Adaptation of Workflows Model, Architecture, and Implementation," 2002, University of Leipzig, Germany, pp. i-viv, 1-342							
m.8.	2	PELTZ, "Web Service Orchestration," HP, January 2003, Hewlett Packard, Co., pp. 1-20							
If you wis	h to ac	id additional non-pater	t literature docur	nent cit	ation informati	on please click the Add b	outton Add		
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Examiner	Signa	iture Mulian	10 Pher			Date Considered	1/14/08		
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Standard ST	<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

Approved for use through 07/31/2006. OMB 0651-0031

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#### 10786772 **Application Number** 2004-02-25 Filing Date INFORMATION DISCLOSURE First Named Inventor Bunker, Ross STATEMENT BY APPLICANT 2167 Art Unit ( Not for submission under 37 CFR 1.99) Pham, Michael **Examiner Name** BEAS-01437US1 Attorney Docket Number

		Remove				
Examine Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
N.R.	1	5944794		1999-08-31	Okamoto et al.	
	2	6636491		2003-10-21	Kari et al.	
	.3	5469562		1995-11-21	Saether	·
	4	6016495		2000-01-18	McKeehan et al.	
	5	6334114		2001-12-25	Jacobs et al.	
	6	7143186		2006-11-28	Stewart et al.	
J	7	6823495		2004-11-23	Vedula et al.	
W.S	, 8	7096422		2006-08-22	Rothschiller et al.	

Application Number		10786772		
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Art Unit	-	2167		
Examiner Name	Pham	, Michael		
Attorney Docket Number		BEAS-01437US1		

W.S.	20	6799718	2004-10-05	Chan et·al.	
Ì	21	6836883	2004-12-28	Abrams et al.	
	22	6684388	2004-01-27	Gupta et al.	
	23	6311327	2001-10-30	O'Brien et al.	
	24	7000219	2001-10-30	Barrett et al.	
	25	7146422	2006-12-05	Marlatt et al.	
	26	7155705	2006-12-26	Hershberg et al.	
	27	7260599	2007-08-21	Bauch et al.	
	28	6922827	2005-07-26	Vasilik et al.	
W.8.		6859180	2005-02-22	Rivera	
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Application Number		10786772	
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Art Unit		2167	
Examiner Name	Pham	, Michael	
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